

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Cato, et al.

Serial No.: Unassigned

Filed: Herewith

Title: METHOD AND SYSTEM FOR AUTOMATIC BROKERED TRANSACTIONS

Assistant Commissioner For Patents
Washington, D. C. 20231



INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Dear Sir:

Applicants submit herewith patents, publications or other information which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

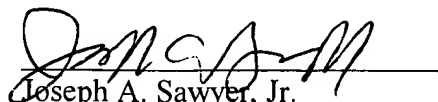
In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By:


Joseph A. Sawyer, Jr.
Sawyer Law Group LLP
Attorney for Applicant(s)
Registration No. 30,801

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

RPS920010122US1

SERIAL NO.

To Be Assigned

APPLICANT:

Cato, et al.

FILING DATE:

Herewith

GROUP:

To Be Assigned

J1046 U.S. PTO
09/941877

09/29/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	6 1 7 5 9 2 2	01-16-01	Wang			
	6 1 4 1 6 5 3	10-31-00	Conklin et al.			
	5 9 2 4 0 8 2	07-13-99	Silverman et al.			
	5 9 1 7 9 1 3	06-29-99	Wang			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	2 2 9 8 2 9	03-05-25	Great Britain				

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line

through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.